

#4/Prior Art
T. McBeth Brown
3/23/04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group Art Unit: 2126
MacPhail, et. al. : Intellectual Property Law Department
Serial No.: 09/714,251 : International Business
Filed: November 16, 2000 : Machines Corporation
Title: System and Method For : 11400 Burnet Road
Coordinating Operator Efforts Using : Austin, Texas 78758
Action Diaries

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT RECEIVED

The Commissioner of Patents
Washington, D.C. 20231

MAR 16 2004

Technology Center 2100

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

This Supplemental Information Disclosure Statement is believed to be timely pursuant to 37 CFR § 1.97(b), therefore no additional fees are believed to be necessary. If, however, additional fees are deemed to be necessary, the Commissioner is hereby authorized to charge such fees to IBM's deposit account No. 09-0447.

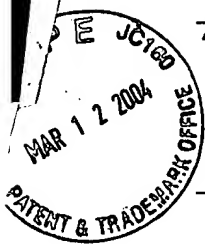
The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

03/15/2004 SDENBDB1 00000120 090447 09714251

01 FC:1806 180.00 DA

Respectfully submitted,

By Joseph T. Van Leeuwen
Joseph T. Van Leeuwen
Attorney for Applicant
Registration No. 44,383
Telephone: (512) 301-6738
Facsimile: (512) 301-6742



In Place of FORM PTO-1449 (Modified)

Serial No. 09/714,251

Applicant: MacPhail, et. al.

Filing Date: 11/16/2000

Group: 2126

Atty. Docket No. AUS9-2000-0470-US1

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

U. S. PATENT DOCUMENTS

| Examiner <u>Initial</u> | | Document <u>Number</u> | <u>Date</u> | <u>Name</u> | <u>Class / Subclass</u> | Filing Date if <u>Appropriate</u> |
|----------------------------|----|---------------------------|-------------|----------------------|-------------------------|--------------------------------------|
| <u>PH</u> | AA | 5430875 | 7-1995 | Ma, Yin-Shur D. | 709/318 | |
| <u>PH</u> | AB | 5961610 | 101999 | Kelly et.al | 709/318 | |
| <u>PH</u> | AC | 6268852 | 7-2001 | Lindhorst et al. | 345/744 | |
| <u>PH</u> | AD | 5893077 | 4-1999 | Griffin, William J. | 705/34 | |
| <u>PH</u> | AE | 5333302 | 7-1994 | Hensley et al. | 714/37 | |
| <u>PH</u> | AF | 63633411 | 3-2002 | Dugan et al. | 709/202 | |
| <u>PH</u> | AG | 5182705 | 1-1993 | Barr et al. | 705/11 | |
| <u>PH</u> | AH | 5323519 | 6-1994 | Abbruzzese et al. | 705/09 | |
| <u>PH</u> | AI | 6131166 | 10-2000 | Wong-Insley, Becky | 713/300 | |
| <u>PH</u> | AJ | 6633782 | 10-2003 | Schleiss et al. | 700/26 | |
| <u>PH</u> | AK | 5666481 | 9-1997 | Lewis, Lundy | 714/4 | |
| <u>PH</u> | AL | 6349333 | 2-2002 | Panikatt et al. | 709/223 | |
| <u>PH</u> | AM | 5742848 | 4-1998 | Burgess, Henery W. | 709/315 | |
| <u>PH</u> | AN | 6542932 | 4-2003 | Brinnard et al. | 709/223 | |
| <u>PH</u> | AO | 6470 384 | 10-2002 | O'Brien et al | 709/310 | |
| <u>PH</u> | AP | 6144967 | 11-2000 | Nock, Clifton Malcom | 709/202 | |
| <u>PH</u> | AQ | 20030023473 | 1-2003 | Guyan | 705/9 | |
| <u>PH</u> | AR | 5655081 | 8-1997 | Bonnell et al. | 709/202 | |
| | AS | | | | | |
| | AT | | | | | |
| | AU | | | | | |

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FOREIGN PATENT DOCUMENTS

| Examiner <u>Initial</u> | | Document <u>Number</u> | <u>Date</u> | <u>Country</u> | <u>Class</u> | <u>Subclass</u> | Translation <u>Yes</u> <u>No</u> |
|----------------------------|----|---------------------------|-------------|----------------|--------------|-----------------|-------------------------------------|
| | AV | | | | | | |
| | AW | | | | | | |

Examiner Initial **OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

AX

Examiner:

MacPhail

Date Considered:

5/14/2004

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/714,251

IBM DOCKET NO. AUS9-2000-0470-US1

DATE: March 5, 2004

Assignee Name: International Business Machines Corporation
Assignee Residence: Armonk, New York

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: MacPhail, et al.

For: System and Method For Coordinating Operator Efforts Using Action Diaries

Enclosed are:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Modified form 1449 listing 18 references;
- ☒ copies of any non-U.S. Patent references listed on form 1449.

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37 CFR § 1.97 is satisfied by the following:

☐ This Supplemental IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. A duplicate copy of this sheet is enclosed.

☒ This Supplemental IDS is being filed pursuant to CFR § 1.197(c) and:
(check one of the following)

☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed);

☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

☐ This Supplemental IDS is being filed pursuant to CFR § 1.197(d) and:
(check first item and one of next two items)

☐ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and

DATE: March 5, 2004

 each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

 no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

 X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

 X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By: Joseph T. Van Leeuwen
Joseph T. Van Leeuwen
Attorney for Applicant
Registration No. 44,383
Telephone: (512) 301-6738
Facsimile: (512) 301-6742

Certificate of Mailing Under C.F.R. §1.8(a)

I hereby certify that this correspondence was sent via First Class Mail to the United States Patent Office in an envelope with sufficient postage addressed to Commissioner for Patents, Washington, D.C. 20231 on March 5, 2004.

By: Joseph T. Van Leeuwen
Joseph T. Van Leeuwen

3/5/04
Date